



Docket No.: H9876.0036/P036  
(PATENT)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Hirohito Kirikoshi et al.

Allowed: August 9, 2004

Application No.: 09/374,129 ✓

Confirmation No.: 3352

Filed: August 9, 1999

Art Unit: 2132

For: INFORMATION PROCESSING SYSTEM  
AND PERIPHERAL APPARATUS

Examiner: G. Gurshman

**REQUEST FOR ACKNOWLEDGEMENT OF FORM PTO-1449**

U.S. Patent and Trademark Office  
220 20th Street S.  
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Crystal Plaza Two, Lobby, Room 1B03  
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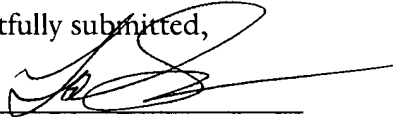
Dear Sir:

In reviewing the above-captioned application file upon allowance, Applicants' undersigned has noticed that Applicants' Form PTO-1449, which accompanied the Information Disclosure Statement filed on August 9, 1999, has not yet been acknowledged by the Examiner. Copies of Form PTO-1449 and the date-stamped postcard evidencing the filing of the same are attached hereto. The Examiner is therefore kindly requested to return the initialed form to the undersigned as soon as possible.

Dated: September 8, 2004

Respectfully submitted,

By

  
Thomas J. D'Amico, Registration No.: 28,37  
Salvatore P. Tamburo, Registration No.: 45,153  
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**Atty Docket No.:** H9876.0036/P036

**Inventor:** Hirohito Kirikoshi et al.  
TRADEMARK FEE PROCESS.

**Serial No.:** Not Yet Assigned

**Filing Date:** August 6, 1999

**Title:** INFORMATION PROCESSING SYSTEM AND PERIPHERAL APPARATUS

US PATENT &  
TRADEMARK OFFICE  
**Documents Filed:** Application For United States Letters Patent; New Utility Patent  
Application Transmittal; Information Disclosure Statement (IDS);  
Information Disclosure Citation In An Application; Claim For  
Priority And Submission of Documents; Transmittal Letter and  
Check in the amount of \$1,150.00 *ck. no. 176442*

**Sender's Initials:** TJD/kpc

**Date:** August 6, 1999

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PATENT  
Docket No.: H9876.0036/P036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:  
Hirohito Kirikoshi et al.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: August 6, 1999

Examiner: Not Yet Assigned

For: INFORMATION PROCESSING  
SYSTEM AND PERIPHERAL  
APPARATUS

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Box DD

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Dear Sir:

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. A copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- x   1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491, OR before the mailing date of a first Office Action on the merits, whichever event occurs last. No certification or fee is required. 37 C.F.R. 1.97(b).
2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Rejection or Notice of Allowance. 37 C.F.R. 1.97(c).
- a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).

- \_\_\_\_\_ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- \_\_\_\_\_ c. Attached is our check in the amount of \$240.00 in payment of the fee under 37 C.F.R. 1.17(p). Please charge any fee deficiencies, or credit any overpayment, to Deposit Account No. 04-1073 as needed to ensure consideration of the Information Disclosure Statement. Two duplicate copies of this paper are attached.
- \_\_\_\_\_ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage, AND after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$240.00 in payment of the petition fee under 37 C.F.R. 1.17(i)(1). Please credit or debit Deposit Account No. 04-1073 as needed to ensure consideration of the Information Disclosure Statement. Two duplicate copies of this paper are attached.
- \_\_\_\_\_ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1).
- \_\_\_\_\_ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).
- \_\_\_\_\_ 4. Translations of the non-English language references are enclosed.
- \_\_\_\_\_ Full
- \_\_\_\_\_ Partial
- \_\_\_\_\_ Summary/abstract
- \_\_\_\_\_ 5. A copy of a foreign application communication from a related foreign application discussing the relevancy of the references is attached.

Dated: August 6, 1999

Respectfully submitted,

By 

Thomas J. D'Amico

Registration No.: 28,371

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION				Docket Number		Application Number		
				H9876.0036/P036		Not Yet Assigned		
				Applicant(s)				
				Hirohito Kirikoshi et al.				
				Filing Date		Group Art Unit		
August 6, 1999		Not Yet Assigned						
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	Translations	
							YES	NO
	A	2560124	11/1991					X
	B							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Matsubara, Security system for Software, 11/1991, Japanese Patent Publication No. 3-266051						

EXAMINER	DATE CONSIDERED
Not Yet Assigned	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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